
RDF Industry Group

CoP Dashboard Report - 2020

Code of Practice – Dashboard Report

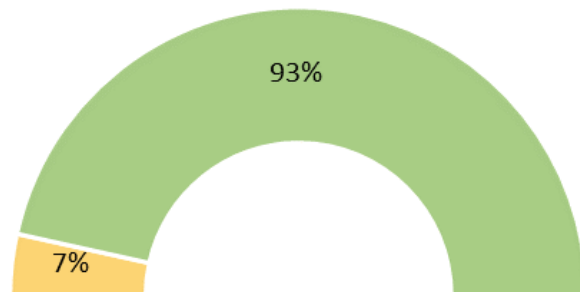
Reporting period: January – December 2020



This dashboard report takes information from members' individual annual Code of Practice (CoP) reports. The dashboard report is an anonymised, high level summary of all reported amber and red breaches, as well as good practice recommendations for avoiding future breaches. The Code of Practice itself can be found [here](#), and further information on the CoP Reporting Procedure used to compile these reports, can be found [here](#).

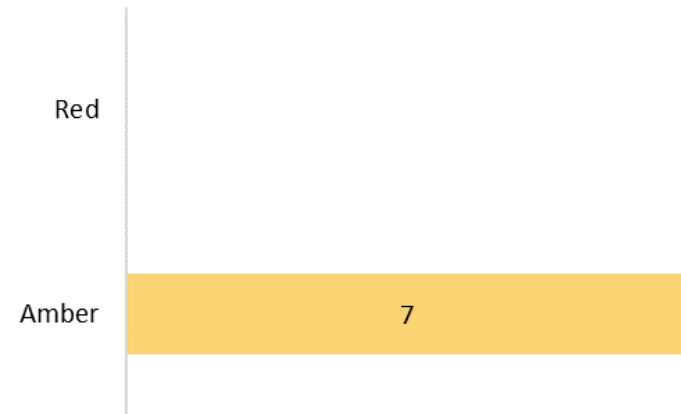
% CoP Signatories by overall compliance level

n = 30



Key: ■ No breach ■ Amber breach ■ Red breach

Total CoP breaches, by compliance breach level



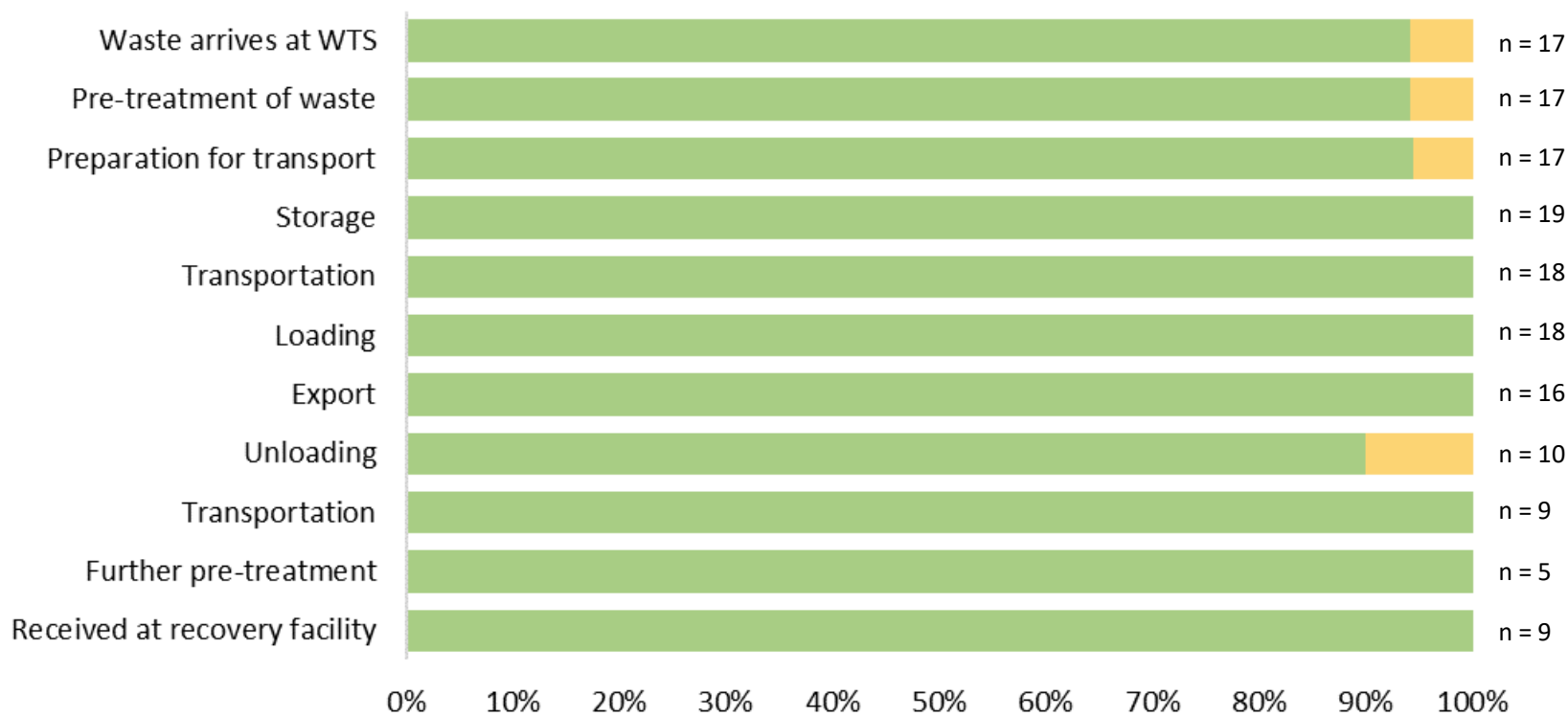
See Annex 1 for further details of amber and red breaches.

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% of CoP Signatories, by compliance level, by CoP stage*



Key: ■ No breach ■ Amber breach ■ Red breach

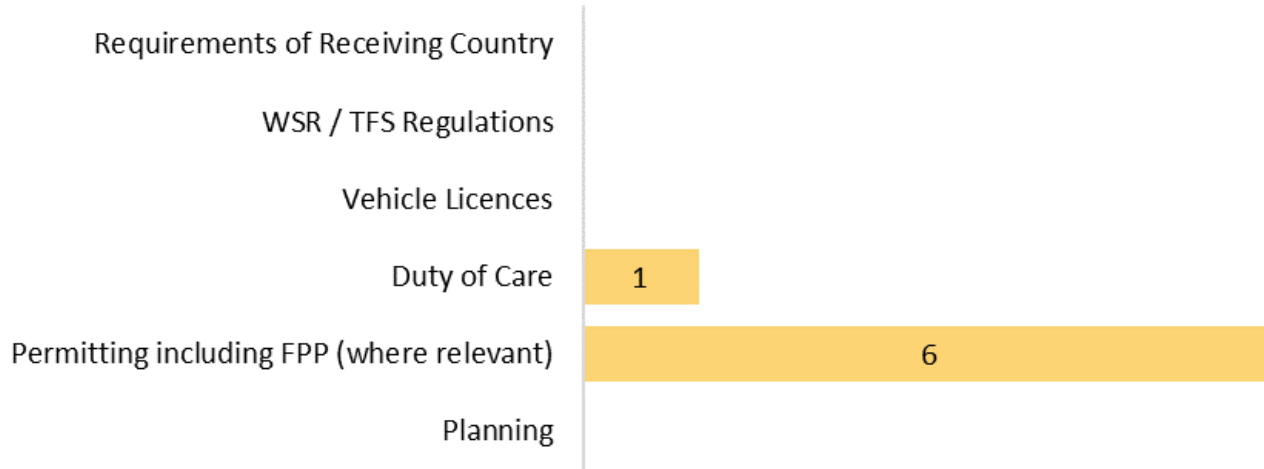
See Annex 1 for further details of amber and red breaches.




*Not all CoP stages apply to all signatories. N = the number of signatories the CoP stage applies to. This chart shows the % of signatories for each CoP stage, who had at least one amber breach.

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Reporting period: January – December 2020

Number of breaches by area of regulation



Key:  No breach  Amber breach  Red breach

Annex 1 – Breach Level Definitions

Reporting period: January – December 2020

Members are required to report any non-compliance with the regulations detailed in the Code of Practice, for each stage relevant to them. Reporting of non-compliance is done through the reporting of breaches – categorised below.

Breach category	Breach level	Description
Red	Major breach	<ul style="list-style-type: none">• A significant intervention or enforcement action from a regulatory body; or• A prosecution or legal action from a third party or regulatory body.
Amber	Minor breach	<ul style="list-style-type: none">• All other (non-red) breaches to the relevant regulations detailed in the CoP.
Green	No breach	<ul style="list-style-type: none">• A 'green' status is achieved if a member has been fully compliant with the relevant regulations detailed in the CoP.

Annex 2 – Further Details of Amber Breaches

Reporting period: January – December 2020

Breach	Brief description of the breach	CoP Stage Breach is Relevant to	Area of Regulation Breach is Relevant to	Details of rectification actions taken (or being undertaken)	Good Practice Recommendations
1	Scores were issued on two occasions in relation to odour beyond the site boundary at levels likely to cause offence.	Stage 1: Waste arrival at Transfer Station Stage 2: Pre-treatment of Waste Stage 3: Preparation for Transport	Permitting including FPP	<ul style="list-style-type: none"> Scores appealed with the EA based on both the EA interpretation of the Common Incident Classification Scheme Criteria and the supporting evidence in the Compliance Assessment Report. Site followed controls agreed in odour management plan until appeal conclusion. 	<ul style="list-style-type: none"> Collate detailed site records. Undertake pro-active odour monitoring.
2	Scores were issued in relation to odour beyond the site boundary at levels likely to cause offence.	Stage 1: Waste arrival at Transfer Station Stage 2: Pre-treatment of Waste Stage 3: Preparation for Transport	Permitting including FPP	<ul style="list-style-type: none"> Scores appealed with the EA based on both the EA interpretation of the Common Incident Classification Scheme Criteria and the supporting evidence in the Compliance Assessment Report. Site followed controls agreed in odour management plan until appeal conclusion. 	<ul style="list-style-type: none"> Collate detailed site records. Undertake pro-active odour monitoring.
3	Scores were issued in relation to site management systems.	Stage 1: Waste arrival at Transfer Station Stage 2: Pre-treatment of Waste Stage 3: Preparation for Transport	Permitting including FPP	<ul style="list-style-type: none"> Scores appealed with the EA based on both the EA interpretation of the Common Incident Classification Scheme Criteria and the supporting evidence in the Compliance Assessment Report. Site followed controls outlined in agreed management plan until appeal conclusion. 	<ul style="list-style-type: none"> Collate detailed site records. Undertake pro-active monitoring.
4	Scores were issued in relation to litter beyond the site boundary at levels likely to cause offence	Stage 1: Waste arrival at Transfer Station Stage 2: Pre-treatment of Waste Stage 3: Preparation for Transport	Permitting including FPP	<ul style="list-style-type: none"> Scores appealed with the EA based on both the EA interpretation of the Common Incident Classification Scheme Criteria and the supporting evidence in the Compliance Assessment Report. Site followed controls outlined in agreed litter management plan until appeal conclusion. 	<ul style="list-style-type: none"> Collate detailed site records. Undertake pro-active litter monitoring.

Annex 2 – Further Details of Amber Breaches

Reporting period: January – December 2020

Breach	Brief description of the breach	CoP Stage Breach is Relevant to	Area of Regulation Breach is Relevant to	Details of rectification actions taken (or being undertaken)	Good Practice Recommendations
5	Scores were issued in relation to fire risk	Stage 1: Waste arrival at Transfer Station Stage 2: Pre-treatment of Waste Stage 3: Preparation for Transport	Permitting including FPP	<ul style="list-style-type: none"> Scores appealed with the EA based on both the EA interpretation of the Common Incident Classification Scheme Criteria and the supporting evidence in the Compliance Assessment Report. New FPP submitted. 	<ul style="list-style-type: none"> Collate detailed site records. Undertake pro-active monitoring.
6	Scores were issued in relation to site management systems.	Stage 1: Waste arrival at Transfer Station Stage 2: Pre-treatment of Waste Stage 3: Preparation for Transport	Permitting including FPP	<ul style="list-style-type: none"> Scores appealed with the EA based on both the EA interpretation of the Common Incident Classification Scheme Criteria and the supporting evidence in the Compliance Assessment Report. Site followed controls outlined in agreed management plan until appeal conclusion. 	<ul style="list-style-type: none"> Collate detailed site records. Undertake pro-active monitoring.
7	Two bales of fuel dropped into the water when unloaded. One washed ashore broken, the other was recovered fully intact.*	Stage 8: Unloading	Duty of Care	<ul style="list-style-type: none"> Cargo handling service (who caused the breach) liaising with the Maine Department of Environmental Protection. Specialist team recovered sunken bale and cleaned up material from the broken bale. 	<ul style="list-style-type: none"> Ensure that cargo handling services comply with established good practices.

*Breach was not within scope but is reported here for completeness and to share good practice.



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